| SEC Form 4 |  |
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(City)

## FORM 4

(State)

(Zip)

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

3235-0287 OMB Number: Estimated average burden er response: 0.5

| Check this box if no longer subject to<br>Section 16. Form 4 or Form 5<br>obligations may continue. See<br>Instruction 1(b). |          | IT OF CHANGES IN BENEFICIAL OWNI  | OMB Number:<br>Estimated avera<br>hours per respon  | 0                         |                 |  |
|--|----------|---|---|---------------------------|-----------------|--|
|  | - nec    | or Section 30(h) of the Investment Company Act of 1940                              |   |                           | <u></u>         |  |
| 1. Name and Address of Reporting Person*<br>Buhrig Melissa M   |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>CVR ENERGY INC</u> [ CVI ] | (Check  | all applicabl<br>Director | le)             | (s) to Issuer<br>10% Owner<br>Other (specify |
| (Last) (First)<br>2277 PLAZA DRIVE, SUITE 500  | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br>12/14/2020                      | estim Estim   hours base   indication S. Relationship of Reportin<br>(Check all applicable)   Director Director   (rear) EVP, General |                           | below)          |  |
| (Street)   |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)                            |   |                           |                 |  |
| SUGAR LAND TX  | 77479    |   |   | Form filed                | by One Reportin | ig Person                                    |

Form filed by One Reporting Person Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   | 4. Securities<br>Disposed Of |               |                           | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--|---|------------------------------|---|------------------------------|---------------|---------------------------|---|---|---|
|                                 |  |   | Code                         | v | Amount                       | (A) or<br>(D) | Price                     | Transaction(s)<br>(Instr. 3 and 4)  |   | (Instr. 4)  |
| Common Stock <sup>(1)</sup>     | 12/14/2020                                 |   | М                            |   | 5,287                        | A             | <b>\$0</b> <sup>(2)</sup> | 5,287   | D   |   |
| Common Stock <sup>(1)</sup>     | 12/14/2020                                 |   | D                            |   | 5,287                        | D             | \$15.55 <sup>(3)</sup>    | 0   | D   |   |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1   |   |  |   |                              |   |  |                         |  |                    |  |  |   |   |  |  |
|---|---|--|---|------------------------------|---|--|-------------------------|--|--------------------|--|--|---|---|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deri<br>Sec<br>Acq<br>(A) (<br>Disp<br>of (I | oosed<br>D)<br>tr. 3, 4 | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | d 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | derivative<br>Securities<br>Beneficially<br>Owned | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   | Code                         | v | (A)  | (D)                     | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |   |  |  |
| Incentive<br>Units <sup>(1)</sup>                   | (2)   | 12/14/2020                                 |   | М                            |   |  | 5,287                   | (1)  | (4)                | Common<br>Stock  | 5,287                                  | \$0 <sup>(2)</sup>                                  | 5,287   | D  |  |

#### Explanation of Responses:

1. The Incentive Units were awarded to the reporting person by CVR Energy, Inc. as compensation for services as an officer on December 14, 2018. These Incentive Units vest ratably in three annual installments beginning in December of each year following the grant date, subject to the terms and conditions of the award agreement.

2. Each Incentive Unit reported herein represents the right to receive upon vesting, a cash payment equal to the average closing price of a share of CVR Energy, Inc. common stock for the 10 trading days preceding the vest date.

3. This price represents the average closing price of a share of CVR Energy, Inc. common stock for the 10 trading days preceding the vest date.

4. The Incentive Units expire immediately following vesting.

**Remarks:** 

#### /s/ Melissa M. Buhrig

\*\* Signature of Reporting Person

12/16/2020 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.