SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 Section 30(h) of the Investment Company Act of

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1. Name and Addres <u>Conaway Jeff</u>		on*		2. Issuer Name and Tick <u>CVR ENERGY I</u>				tionship of Reportin all applicable) Director	Dwner			
(Last) C/O CVR ENER 2277 PLAZA DI		(Middle)		3. Date of Earliest Transa 08/17/2022	action (Month	'Day/Year)	X	Officer (give title below) VP, CAO & C	below	,		
· /				 If Amendment, Date of 	Original Filed	l (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) SUGAR LAND	ТХ	77479					X	Form filed by One Form filed by Mor Person	1 0			
(City)	(State)	(Zip)										
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
Date			2. Transactior Date (Month/Day/Y	Execution Date,	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

		(Month/Day/Year)	8)					Owned Following Reported	(I) (Instr. 4)	Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock ⁽¹⁾	08/17/2022		М		465	A	\$0 ⁽²⁾	465	D	
Common Stock ⁽¹⁾	08/17/2022		D		465	D	\$32.04 ⁽³⁾	0	D	
Common Stock ⁽⁴⁾	12/14/2022		М		2,445	A	\$0 ⁽²⁾	2,445	D	
Common Stock ⁽⁴⁾	12/14/2022		D		2,445	D	\$32.42 ⁽³⁾	0	D	
Common Stock ⁽⁵⁾	12/14/2022		М		2,805	A	\$0 ⁽²⁾	2,805	D	
Common Stock ⁽⁵⁾	12/14/2022		D		2,805	D	\$32.42(3)	0	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puis, cais, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Deriva Securi Acquin or Dis of (D)	i. Number of berivative Securities Acquired (A) of (D) (Instr. b, 4 and 5)			7. Title and Amount o Securities Underlying Derivative (Instr. 3 ar	f g Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Incentive Units ⁽¹⁾	(2)	08/17/2022		М			465	(1)	(6)	Common Stock	465	\$0 ⁽²⁾	465	D	
Incentive Units ⁽⁴⁾	(2)	12/14/2022		М			2,445	(4)	(6)	Common Stock	2,445	\$0 ⁽²⁾	2,444	D	
Incentive Units ⁽⁵⁾	(2)	12/14/2022		М			2,805	(5)	(6)	Common Stock	2,805	\$0 ⁽²⁾	5,608	D	
Incentive Units ⁽⁷⁾	(2)	12/14/2022		A		4,468		(7)	(6)	Common Stock	4,468	\$0 ⁽²⁾	4,468	D	

Explanation of Responses:

1. The Incentive Units were awarded to the reporting person by CVR Energy, Inc. on August 19, 2020. These Incentive Units vest ratably in three annual installments in August of each year following the grant date, subject to the terms and conditions of the award agreement.

2. Each Incentive Unit reported herein represents the right to receive upon vesting, a cash payment equal to the average closing price of a share of CVR Energy, Inc. common stock for the 10 trading days preceding the vest date

3. This price represents the average closing price of a share of CVR Energy, Inc. common stock for the 10 trading days preceding the vest date.

4. The Incentive Units were awarded to the reporting person by CVR Energy, Inc. on December 9, 2020. These Incentive Units vest ratably in three annual installments beginning in December of each year following the grant date, subject to the terms and conditions of the award agreement.

5. The Incentive Units were awarded to the reporting person by CVR Energy, Inc. as compensation for services as an officer on December 8, 2021. These Incentive Units vest ratably in annual installments in December of each of the three years following the grant date, subject to the terms and conditions of the award agreement.

6. The Incentive Units expire immediately upon vest.

7. The Incentive Units were awarded to the reporting person by CVR Energy, Inc. as compensation for services as an officer on December 14, 2022. These Incentive Units vest ratably in annual installments in December of each of the three years following the grant date, subject to the terms and conditions of the award agreement.

Remarks:

/s/ Jeffrey D. Conaway 12/16/2022 ** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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